



Attorney Docket No. P5079
Client/Matter No. 80168.0099.001
Express Mail Label No. EV335405980US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mark A. Kampe, Frederic Hermann, Gia-Khanh
Nguyen and Eltefaat H. Shokri

Serial No. 09/846,380

Filed: May 2, 2001

For: METHOD AND SYSTEM FOR MANAGING
HIGH-AVAILABILITY-AWARE COMPONENTS IN A
NETWORKED COMPUTER SYSTEM

Art Unit: 2152

Examiner: Sheikh, Ayaz R.

Confirmation No.: 4069

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

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The Applicant has recently become aware of the additional references noted on the enclosed form PTO/SB/08A and submits these additional references for the Examiner's consideration pursuant to the Applicant's duty of disclosure under 37 C.F.R. 1.97(b)(3). Because an office action has not yet been mailed in this case, no fee is believed required. However, authorization is hereby granted to credit any overpayment or debit any underpayment of any fee required pursuant to this First Supplemental Information Disclosure Statement to Deposit Account No. 50-1123.

Submission of the instant Supplemental Information Disclosure Statement is not a representation that a search has been made or that the cited information is, or is considered to be, material to patentability of the above application. Copies of the references listed on the enclosed form are attached.

Dated 09 May, 2003

Respectfully submitted,

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05-12-03

Attorney Docket No. P5079 #7
Client/Matter No. 80168.0099.001
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5-27-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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CERTIFICATE OF MAILING BY EXPRESS MAIL

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P.O. Box 1450
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Sir:

The undersigned hereby certifies that the attached:

1. First Supplemental Information Disclosure Statement;
2. Form PTO/SB/08A, with references;
3. Certificate of Mailing by Express Mail; and
4. Return Card

relating to the above application, were deposited as "Express Mail", Mailing Label No. EV335405980US, with the United States Postal Service, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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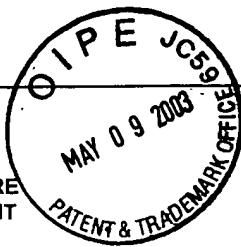
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Sheet 1 of 1

ATTY. DOCKET NO. P5079
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APPLICATION NO.
09/846,380

FIRST NAMED INVENTOR

Mark A. Kampe, Frederic Hermann, Gia-Khanh Nguyen and Eltefaat H. Shokri

FILING DATE
May 2, 2001

ART UNIT
2152

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document No. No. – Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,805,785	09/08/1998	Dias et al.	
		US-			
		US-			RECEIVED
		US-			MAY 13 2003
		US-			Technology Center 2100

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Doc cntry code – No. – Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	TRANSLATION
						YES NO
		EP 0 936 547 A2	18.08.1999	NCR International, Inc.		
		EP 0 990 986 A2	05.04.2000	NCR International, Inc.		
		EP 0 953 911 A2	03.11.1999	Lucent Technologies, Inc.		
		WO 98/34366	06.08.1998	Telefonaktiebolaget LM Ericsson		
		EP 1 134 658 A2	19.09.2001	Sun Microsystems, Inc.		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published

EXAMINER SIGNATURE

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.